



Regulatory and Compliance Measures

Depending on industry and geography, key compliance metrics should be defined:

Financial Compliance

- Audit findings
- Reporting accuracy
- Policy adherence

Legal Compliance

- Contract adherence
- Data protection and privacy
- IP, employment and other law compliance

Regulatory Compliance

- Industry specific regulations
- Licensure requirements
- Product specifications

Safety and Security

- Incident rates
- Exposure metrics
- Vulnerability metrics

Operational Compliance

- Internal policy adherence
- Code of conduct violations

Benefits

- Ensures adherence to external obligations
- Manages and minimizes compliance risks
- Builds trust with oversight bodies

Non-compliance can lead to legal, financial and reputational damages. Proactive monitoring of metrics can prevent issues.